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From: Aurelia Caparas, Assistant to  
Philip D. Reilly, Reg. No. 41,415

Date: January 23, 2003

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ATTORNEY DOCKET: 515622000100

SERIAL NO.: 09/392,869 ATTY/Secy: PDR1/aac3

FILING DATE: September 9, 1999

INVENTOR(S): Steven P. NOLAN and Jinkun HUANG

TITLE: CATALYST COMPLEX WITH CARBENE LIGAND

Papers enclosed: (As filed via Express Mail on January 6, 2003)

1. Copy of Postcard and Express Mail Label - 2 pages
2. Copy of Transmittal - 1 page
3. Copy of Fee Transmittal + duplicate for fee processing - 2 pages
4. Copy of Amendment Under 37 C.F.R. §1.111 - 31 pages
5. Copy of Extension of Time Request - 1 page

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

35

Application Number	09/392,889
Filing Date	September 9, 1999
First Named Inventor	Steven P. NOLAN
Group Art Unit	1621
Examiner Name	P. Nazario-Gonzalez
Attorney Docket No.	515622000100

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form + duplicate for fee processing - 2 pages☐ Fee Attached☒ Amendment / Reply - 31 pages☐ After Final☐ Affidavits/declarations☒ Extension of Time Request - 1 page☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

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or

Individual Name

Morrison &amp; Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

Phillip D. Reilly, Reg. No. 41,415

Signature

Date

January 6, 2003

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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,848.00)

## Complete if Known:

Application Number 09/392,869  
Filing Date September 9, 1999  
First Named Inventor Steven P. NOLAN  
Examiner Name P. Nazario-Gonzalez  
Group Art Unit 1621  
Attorney Docket No. 515522000100

## FEE CALCULATION (continued)

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

03-1952

Deposit Account Name

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- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	201	375	Utility filing fee	
1002	530	208	165	Design filing fee	
1003	520	207	260	Plant filing fee	
1004	750	208	375	Reissue filing fee	
1005	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
66	-29 =	37	\$856
Independent Claims	8	-5 =	2
Multiple Dependent			280 = 50

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	203	0	Claims in excess of 20
1201	84	202	42	Independent claims in excess of 13
1203	280	204	140	Multiple dependent claims, if not paid
1204	84	209	42	Reissue independent claims over original patent
1205	18	210	0	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$918.00)

\*\* or number previously paid, if greater; For reissues, see above.

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	205	65	Surcharge - late filing fee or oath	
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	139	130	Non-English specification	
1812	2,520	147	2,520	For filing a request for ex parte reexamination	
1804	920	112	920	Requesting publication of SIR prior to Examiner action	
1805	1,840	113	1,840	Requesting publication of SIR after Examiner action	
1251	110	215	55	Extension for reply within first month	
1252	410	215	205	Extension for reply within second month	
1253	930	217	465	Extension for reply within third month	830
1254	1,450	218	725	Extension for reply within fourth month	
1255	1,970	228	985	Extension for reply within fifth month	
1401	320	219	160	Notice of Appeal	
1402	320	220	160	Filing a brief in support of an appeal	
1403	280	221	140	Request for oral hearing	
1451	1,510	138	1,510	Petition to institute a public use proceeding	
1452	110	240	55	Petition to revive - unavoidable	
1453	1,280	241	640	Petition to revive - unintentional	
1501	1,280	242	640	Utility issue fee (or reissue)	
1502	460	243	230	Design issue fee	
1503	620	244	310	Plant issue fee	
1450	130	122	130	Petitions of the Commissioner	
1807	50	123	50	Petitions related to provisional applications	
1806	180	128	180	Submission of Information Disclosure Sheet	
8021	40	581	40	Recording each patent assignment per properties (times number of properties)	
1809	740	245	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1601	740	279	370	Request for Continued Examination (RCE)	
1802	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$) 930.00

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY

Name (Print/Type)

Philip D. Reilly

Signature

Registration No. (Attorney/Agent)

41,415

Complete (if applicable)

Telephone

(650) 813-5980

Date

January 6, 2003

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